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Patent

Case No.: 54567US013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

rst Named Inventor:

VON JAKUSCH, EGBERT A.

RECEIVED

Application No.:

09/856416

Group Art Unit: Unknown

DEC 1 6 2002

Filed:

May 18, 2001

Examiner:

Unknown

OFFICE OF PETITIONS

Title:

NON-WOVEN ADHESIVE TAPE FOR THE MANUFACTURING

OF A DIAPER CLOSURE SYSTEM

PETITION TO REVIVE UNDER 37 CFR §1.137

Commissioner for Patents Washington, DC 20231

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on:

Secenter 6, 2003

GUUY 9. DUVY

Dear Sir:

In response to the Notice of Abandonment Under 37 CFR §1.53(f) or (g) mailed November 22, 2002, Applicants submit the following.

Applicants submitted the above-captioned patent application on May 18, 2001 without an executed Declaration. Applicants received the return postcard indicating the receipt of the application by the U.S. Patent and Trademark Office (copy attached). To date, Applicants have not received the Filing Receipt for this application and Applicants anticipated receiving a Notice to File Missing Parts of Application in due course.

Applicants submitted a Status Inquiry mailed November 12, 2002. Applicants received the return postcard indicating receipt of this inquiry at the Patent Office on November 19, 2002. However, neither the Filing Receipt nor Notice to File Missing Parts was received by Applicants.

On December 2, 2002, Applicants received the Notice of Abandonment Under 37 CFR §1.53(f) or (g) mailed November 22, 2002. The Notice indicate that the application had become abandoned for failure to respond to the Notice to File Missing Parts mailed June 27, 2001 (copy attached). However, Applicants have no record of receiving the Notice to File Missing Parts

dated June 27, 2001.

12/13/2002 CNGUYEN 00000107 133723 09856416

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Application No.: 09/856,416 Case No.: 54567US013

Enclosed please find the executed Declaration, Power of Attorney and Petition, as well as a copy of the Notice of Abandonment. Affidavits of Sandra R. Dickman and Cheryl L. Schmitz are also enclosed in support of this Request. These Affidavits indicates the procedures used to handle and docket incoming items from the U.S. Patent and Trademark Office and that no Notice to File Missing Parts was received relative to this case.

Applicants respectfully request the Patent and Trademark Office to revive the application as unintentional and to issue the Notice to File Missing Parts of Application. Please charge Deposit Account No. 13-3723 in the amount of \$130.00 for the fee under 37 CFR §1.17(i) in filing this Petition. Please charge any additional fees or credit any overpayment to Deposit Account No. 13-3723.

By:

Respectfully submitted,

lmber 6,2002

William J. Bond, Reg. No.: 32,400

Telephone No.: 651-736-4790

Office of Intellectual Property Counsel 3M Innovative Properties Company Facsimile No.: 651-736-3833

| DOCKET NO.: 54567USA1A.013 | : | |
|--|-----|--|
| Application Of: Egbert von JAKUSCH et al. Title: NON-WOVEN ADHESIVE TAPE FOR THE MANUFACTURING OF A DIAPER CLOSURE SYTEM | | |
| ENCLOSURES: Transmittal Letter to the U.S. Designated/Elected Office Concerning a Filing Under 35 USC 371; Copy of PCT Published Application No. WO 00/31203; Copy of Request; Information Disclosure Statement; PTO Form-1449 and Copies of References Deposit Acct Amount: \$1100 (Filing Fee) | ıct | |
| Attorney (initials): WJB/cls Express Mail No.: EL597089126US Date Mailed: May | | |
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| DOCKET NO.: 54567USA1A.013 | : | |
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| oplication Of: Egbert von JAKUSCH et al. tle: NON-WOVEN ADHESIVE TAPE FOR THE ANUFACTURING OF A DIAPER CLOSURE SYTEM | | |
| NCLOSURES: Transmittal Letter to the U.S. Designated/Elected ffice Concerning a Filing Under 35 USC 371; Copy of PCT ablished Application No. WO 00/31203; Copy of Request; formation Disclosure Statement; PTO Form-1449 and Copies of eferences | ıct | |
| eposit Acct Amount: \$1100 (Filing Fee) | | |
| attorney (initials): WJB/cls express Mail No.: EL597089126US Date Mailed: May, 2001 | | |
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| Application Of: Egbert von JAKUSCH et al. Title: NON-WOVEN ADHESIVE TAPE FOR THE MANUFACTURING OF A DIAPER CLOSURE SYTEM | 13 156 41 6 | - - - |
| ENCLOSURES: Transmittal Letter to the U.S. Designated/Electer Office Concerning a Filing Under 35 USC 371; Copy of PCT Published Application No. WO 00/31203; Copy of Request; Information Disclosure Statement; PTO Form-1449 and Copies of References | of ict | - RECEIVED - DEC 1 6 2002 OFFICE OF PETITIONS |
| Deposit Acct Amount: \$1100 (Filing Fee) **C19 Rec'd PCT/PTO 1 8 MAY Attorney (initials): WJB/cls 7. Express Mail No.: EL597089126US Date Mailed: May \$\frac{1}{2}\$, 2001 | | |

BEST AVAILABLE COPY

| DOCKET NO.: 54567US013 | | |
|--|--------|--|
| First Named Inventor: Egbert A. von Jakusch Serial No.: 09/856,416 Filed: May 18, 2001 Title: NON-WOVEN ADHESIVE TAPE FOR THE MANUFACTURING OF A DIAPER CLOSURE SYSTEM | | |
| WANDFACTORING OF A BUSINESS OF THE STATE OF | | |
| ENCLOSURES: Status Inquiry | stract | |
| Attorney (initials): WJB/cls Date Mailed: November, 2002 | | |
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| e participation of the property of the control of t | | |
| DOCKET NO.: 54567US013 | | |

First Named Inventor: Egbert A. von Jakusch
Serial No.: 09/856,416
Filed: May 18, 2001
Title: NON-WOVEN ADHESIVE TAPE FOR THE
MANUFACTURING OF A DIAPER CLOSURE SYSTEM

ENCLOSURES: Status Inquiry

Attorney (initials): WJB/cls
Date Mailed: November 12, 2002

OFFICE OF PETITIONS



Commissioner for Patents Washington, DC 20231 www.uspto.gov

APPLICATION NUMBER

FILING DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/856,416

Von Jakusch

54567USA1A.013

Office of Intellectual Property Counsel 3M Innovative Properties Company P O Box 33427 St Paul, MN 55133-3427 CONFIRMATION NO. 7900
ABANDONMENT/TERMINATION
LETTER

OC000000009146680

Date Mailed: 11/22/2002

NOTICE OF ABANDONMENT UNDER 37 CFR 1.53 (f) OR (g)

The above-identified application is abandoned for failure to timely or properly reply to the Notice to File Missing Parts (Notice) mailed on 06/27/2001.

· No reply was received.

A petition to the Commissioner under 37 CFR 1.137 may be filed requesting that the application be revived.

Under 37 CFR 1.137(a), a petition requesting the application be revived on the grounds of **UNAVOIDABLE DELAY** must be filed promptly after the applicant becomes aware of the abandonment and such petition must be accompanied by: (1) an adequate showing of the cause of unavoidable delay; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(I); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Under 37 CFR 1.137(b), a petition requesting the application be revived on the grounds of **UNINTENTIONAL DELAY** must be filed promptly after applicant becomes aware of the abandonment and such petition must be accompanied by: (1) a statement that the entire delay was unintentional; (2) the required reply to the above-identified Notice; (3) the petition fee set forth in 37 CFR 1.17(m); and (4) a terminal disclaimer if required by 37 CFR 1.137(d).

Any questions concerning petitions to revive should be directed to "Office of Petitions" at (703) 305-9282.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

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DEC 1 6 2002

OFFICE OF PETITIONS

Patent

Case No.: 54567US013



ATENT TRADEMARK OFFIC

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:

VON JAKUSCH, EGBERT A.

Application No.:

09/856416

Group Art Unit:

Unknown

Filed:

May 18, 2001

Examiner:

Unknown

Title:

NON-WOVEN ADHESIVE TAPE FOR THE MANUFACTURING

OF A DIAPER CLOSURE SYSTEM

AFFIDAVIT OF SANDRA R. DICKMAN IN SUPPORT OF PETITION TO REVIVE UNAVOIDABLY ABANDONED APPLICATION

| State of Minnesota |) |
|--------------------|-------|
| |) ss. |
| County of Ramsey |) |

- I, Sandra R. Dickman, of Woodbury, Minnesota, being duly sworn, depose and say as follows:
- 1. My present position is U.S. Docket and Information Coordinator in the Office of Intellectual Property Counsel (OIPC), 3M Innovative Properties Company, and I have been in the position for 5½ years.
- 2. As U.S. Docket and Information Coordinator, part of my job is to enter docket action due dates on the 3M Innovative Properties Company computerized docket system for papers due in the U.S. Patent and Trademark Office.
 - 3. The current docketing procedures for incoming mail are as follows:
- a) All US mail is opened by the mail clerk, stamped by the mail clerk with the date received, and forwarded to docketing personnel.
- b) Mail from the US Patent and Trademark Office is reviewed by docketing personnel to identify what type of document it is and the appropriate procedure for handling that type of document.

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- c) Mail from the US Patent and Trademark Office is looked up on the office database using the file identification number which is the docket number.
- d) The appropriate docket due dates are entered onto the database with the date and the Action required.
- e) Docketing personnel then writes any docket dates and the initials of the attorney assigned to the corresponding application onto the document and adds their initials to indicate which person handled the document.
- f) The docketed correspondence from the US Patent and Trademark Office is then distributed into the appropriate attorney mailslot in the mail room by the docketing personnel.
- g) Upon completing an action, a copy of the completed action is sent to docketing personnel indicating that the docket item was completed.
- h) The docketed item is then stamped, initialed, and returned to the attorney assigned to the application.
- i) A computer printout of each attorney docket is printed every 2 weeks by the attorney/support staff team. The docket is generated by the computer based on an item having a "due date" and an "action required".
- 4. According to my records, no Notice to File Missing Parts was ever received in our file 54567US013 (corresponding to U.S. Serial No. 09/856,416). Therefore, this docket item never was listed on the Attorney's docket.
- 5. I was trained by the previous US Docket and Information Coordinator daily for approximately 1 month before I independently handled this position 5½ years. Further Affiant sayeth not.

Sandra R. Dickman

Sworn to and subscribed before me this $5^{4/2}$ day of December, 2002.

Notary Public

CHERYL L. SCHMITZ NOTARY PUBLIC - MINNESOTA My Comm. Expires Jan. 31, 2008 RECEIVED

DEC 1 6 2002

OFFICE OF PETITIONS

| | 1005 36 | 600 | | Prosecution History | storv | | | Page: 1 |
|-----------------------------|----------------------|---------|----------------|-----------------------------------|--|-----------------------|----------------|---------|
| Thursday, December 03, 2002 | moer vo, zo | 70 | | | | | | |
| Case | | | Date | Reason for Date/ Indicator | Action Type | Application Number | Filing Date | Status |
| Number | Country Autorney Duc | Attorii | 10 1:1-2000 | FILE PCT & US | FILE PCT & US | 09/856416 | 18-May-2001 | Pending |
| 54567US013 | SO | WJB | 0007-inf-61 | NATIONAL STAGE A | NATIONAL STAGE A | | | |
| | | | | Due Date | | | | |
| | | | | Date Take | Date Taken: 20-Jul-2000 | | | |
| 54567US013 | SN | WJB | 18-May-2001 | ORG APPLICATION MAILED (EXP)(P | ORG APPLICATION MAILED (EXP)(P | 09/856416 | 18-May-2001 | Pending |
| | | | | Due Date | | | | |
| | | | | Date Take | Date Taken: 18-May-2001 | | | |
| 54567US013 | SN | WJB | 19-May-2001 | FILE US NATIONAL STAGE APPLICA | FILE US NATIONAL STAGE APPLICA | 09/856416 | 18-May-2001 | Pending |
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| | | | | | Date Taken: 18-May-2001 | | | |
| 21001120012 | 211 | WIB | 14-Jun-2001 | SUBMIT TAI9A.012 | SUBMIT TAI9A.012 | 09/856416 | 18-May-2001 | Pending |
| 5456/05015 | 3 | } | | CITED ART | CITED ART | | | |
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| 54567US013 | SN | WJB | 18-Aug-2001 | Information Disclosure Strant | Information Disclosure Strant | 09/830410 | 10-141dy-201 | |
| | | | | Due Date | | | | |
| | | | Resp. Sent Dat | 18-May-2001 | Date Taken: 18-May-2001 | | | |
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Resp. Sent Dat 18-May-2001 Date Taken: 18-May-2001

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| Thursday, December 05, 2002 | mber 05, 20 | 005 | | Prosecution History | History | | | Page: 2 |
|-----------------------------|-------------|-------|------------------------------|--|-----------------------------|-----------------------|----------------|---------|
| Case Number | Country | Attoi | Date Country Attorney Due | Reason for Date/ Indicator | Action Type | Application Number | Filing Date | Status |
| 54567US013 | NS | WJB | 19-Jun-2002 | No Action in 1 Year Due Date | US Status Check | 09/856416 | 18-May-2001 | Pending |
| | | | | Date Tak | Date Taken: 10-Jun-2002 | | | |
| 54567US013 | NS | WJB | 10-Oct-2002 | Submit Cited Art - EP - 1 Mo. Reminder | EP Cited Art | 09/856416 | 18-May-2001 | Pending |
| | | | Resp. Sent Dat | 25-Sep-2002 Date Tak | Date Taken: 25-Sep-2002 | | | |
| 54567US013 | Sn | WJB | 10-Dec-2002 | Submit Cited Art - EP - 3 Mo. Due Date | EP Cited Art | 09/856416 | 18-May-2001 | Pending |
| | | | Resp. Sent Dat | 25-Sep-2002 Date Tak | Date Taken: 25-Sep-2002 | | | |
| 54567US013 | Sn | WJB | 22-Dec-2002 | Petition to Revive? | US Notice of Abandonment | 09/856416 | 18-May-2001 | Pending |
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Due Date



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PATENT TRADEMARK OFFICE

Case No.: 54567US013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| First Named Inventor: | Von Jakusch, Egbert A. |
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Application No.: 09/856416

Group Art Unit: Unknown

Filed:

May 18, 2001

Examiner: Unknown

Title:

NON-WOVEN ADHESIVE TAPE FOR THE MANUFACTURING

OF A DIAPER CLOSURE SYSTEM

AFFIDAVIT OF CHERYL L. SCHMITZ IN SUPPORT OF PETITION TO REVIVE UNAVOIDABLY ABANDONED APPLICATION

| State of Minnesota |) |
|--------------------|-------|
| |) ss. |
| County of Ramsey |) |

- I, Cheryl L. Schmitz, of New Richmond, Wisconsin, being duly sworn, depose and say as follows:
- 1. My present position with the Office of Intellectual Property Counsel (OIPC), 3M Innovative Properties Company, is Senior Intellectual Property Assistant I. I have been in this position for 5 1/2 years. I have worked in the area of intellectual property for a total of 17 years.
- 2. In the normal course of my daily routine, I go into the mailroom twice daily to pick up the mail. I review the mail for any incoming docketed items and note the due date. I then locate the proper corresponding file.
- 3. After a file is located, I then indicate the type of correspondence received from the Patent and Trademark Office on the front cover of 3M's prosecution file, as well as the date the correspondence was mailed. A copy of the prosecution file cover is attached for U.S. Serial No. 09/856,416.

Application No.: 09/856,416

Case No.: 54567US013

4. I review the attorney docket on a daily basis and double check all dates with the master attorney docket. In my years of experience, I did not think it unusual not to receive any communication from the Patent and Trademark Office in this time frame due to previous backlogs indicated at the Patent and Trademark Office. According to our records, a Notice to File Missing Parts was never received corresponding to this application. The first communication from the Patent and Trademark Office with respect to this application was the Notice of Abandonment mailed November 22, 2002.

Further Affiant sayeth not.

Cheryl L. Schmitz

Cheryl L. Schmitz

Sworn to and subscribed before me this (ρ^{+}) day of December, 2002.

Theresa C.

Notary Public



MINNESOTA MINING AND MANUFACTURING COMPANY

Office of Intellectual Property Counsel
3M Center P. O. Box 33427 St. Paul, MN. 55133

Attorney in Charge: BOND, WILLIAM J.

File No: 54567USA1A.013

Inventor: VON JAKUSCH, EGBERT

JUNG, DIETER

| erial No.: <u>09/856,416</u> | | | Filed: _ | 5-18-01 | | |
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| ON-WOVEN ADHESIVE TAPE FOR | R THE MANUFAC | TURING O | F A DIAPER | CLOSURE | SYSTEM | |
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| ssigned To: 3M | · ·· | | | | | |
| ssignment Recorded: | Reel/F | rame: | | - | • | • • |
| | <u>.</u> | ··· : | | 3 | | * |
| Appln (as filed) W/ IDS | 5-18-01 | | | | | |
| Status Inguirix | 11-12-02 | | | | | |
| Notice of Abandonment | 11-22-02 | | | | | |
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| Related Cases: | | 13308 E | ,aio | | | |

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